RPI-134US

10/583105 AP20 Rec'd PCT/PTO 15 JUN 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned

Applicant:

Nadarajah Narendran

Filed:

Herewith

Title:

HIGH EFFICIENCY LIGHT SOURCE USING SOLID-STATE EMITTER AND

DOWN-CONVERSION MATERIAL

Docket No.:

RPI-134US

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and/or PTO/SB/08b form submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

10/583105 iAP20 Rec'd PCT/PTO 15 JUN P2066T

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

RatnerPresti

Jacques L. Etkowicz, Reg. No. 41,738 Stanley Weinberg, Reg. No. 25,276 Attorneys for Applicants

JLE/kpc

Enclosures:

PTO/SB/08a and/or PTO/SB/08b

International Search Report

Dated: June 15, 2006

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Director is hereby authorized to charge or credit Deposit Account No. **18-0350** for any additional fees, or any underpayment or credit for overpayment in connection herewith..

EXPRESS MAIL

Mailing Label Number: Date of Deposit: EV 614760422 US June 15, 2006

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dennis McDermott

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PTO/SB/08a (07-05) (TMB 07/2005)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 3

-	Complete if Known	
Application Number	To Be Assigned	
Filing Date	To Be Assigned 1583105 Herewith 10 / 583105	
First Named Inventor	Nadarajah Narendran	
Art Unit		
Examiner Name		
Attorney Docket No.	RPI-134US	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Tillitials	10.	US-3,593,055	07/13/1971	Geusie et al.	Пдика Арреа
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	ļ	US-3,875,456	04/01/1975	Kano et al.	
	ļ	US-5,461,547	10/24/1995	Ciupke et al.	
		US-6,069,440	05/30/2000	Shimizu et al.	
		US-6,210,012 B1	04/03/2001	Broer	
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		US-6,608,332 B2	08/19/2003	Shimizu et al.	
		US-6,614,179 B1	09/02/2003	Shimizu et al.	

		FOREIGN PA	TENT DOCUMEN	TS		
_		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code ³ - Number ⁴ - Kind Code ^{5 (If known)}	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T6
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Examiner	Date	
Signature	Considered	

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¹Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Senter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT BY APPLICANT	

(Use as many sheets as necessary)

SHEET 2 of 3

Complete if Known			
Application Number	To Be Assigned / 583105		
Filing Date	Herewith 10/90/10/		
First Named Inventor	Nadarajah Narendran		
Art Unit			
Examiner Name			
Attorney Docket No.	RPI-134US		

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,616,862 B2	09/09/2003	Srivastava et al.	
		US-6,630,691 B1	10/07/2003	Mueller-Mach et al.	
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		US-6,686,691 B1	02/03/2004	Mueller et al.	
		US-6,692,136 B2	02/17/2004	Marshall et al.	
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		FOREIGN PA	TENT DOCUMEN	TS		
5	<u> </u>	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
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Examiner	Date	
Signature	Considered	

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Benter Office that issued the document, by the two-letter code (WIPO Standard St.3).

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Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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#AP20 Rec'd PCT/PTO 15 JUN 2006

PTO/SB/08b (07-05) (TMB 07/2005)

	Complete if Known
Application Number	To Be Asserted 5 8 5 1 U 5
Filing Date	Herewith
First Named Inventor	Nadarajah Narendran
Art Unit	
Examiner Name	
Attorney Docket No.	RPI-134US
	Filing Date First Named Inventor Art Unit Examiner Name

•		NON-PATENT LITERATURE DOCUMENTS	;		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (whe magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume country where published	n appropriate), t -issue number(s	itle of the item (book,), publisher, city and/or	T²
		International Search Report for PCT International Application No. P 2006.	CT/US05/1573	36 mailed March 30,	
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Examiner Signature			Date Considered	-	

¹Applicant's unique citation designation number (optional).

Applicant's unique ctation designation number (optionar).

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